# Impact of sulfur doping on copper-substituted lead apatite

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Room-temperature superconductivity represents a significant scientific milestone, with the initial report [S. Lee *et al.*, arXiv:2307.12008; arXiv:2307.12037] of LK-99, a copper-substituted lead apatite  $Pb_{10-x}Cu_x(PO_4)_6O$ , offering a potential breakthrough. However, other researchers have encountered numerous challenges in replicating the original experimental results. In recent studies, Wang *et al.* [arXiv:2401.00999 and arXiv:2403.11126] successfully observed signs of a possible superconducting phase, such as smaller resistance and stronger diamagnetism, upon doping S into the samples. This indicates that the introduction of S is of significant importance for achieving an appropriate structure. To further investigate the role of S, we have considered  $Pb_{10-x}Cu_x(PO_4)_6S$ , systematically discussing its thermodynamic stability as well as the influence of S on the distribution, concentration, and electronic properties of Cu. In this paper, we provide valuable insights into the synthesis of potential apatite-based room-temperature superconductors and the role of S in facilitating Cu doping.

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### I. INTRODUCTION

The pursuit of room-temperature superconductivity has long been a holy grail in the field of condensed matter physics, owing to its revolutionary potential in transforming energy transmission, magnetic levitation, and quantum computing technologies. The report of LK-99, a copper-substituted lead apatite Pb<sub>10-x</sub>Cu<sub>x</sub>(PO<sub>4</sub>)<sub>6</sub>O (PCPO), claimed as a promising candidate for room-temperature superconductivity [1,2], has sparked considerable debate and controversy within the scientific community.

However, other researchers have encountered numerous challenges in replicating the original experimental results, with the synthesized samples failing to demonstrate any superconducting phenomena [3-7]. Observations during experimental synthesis have revealed that Cu is difficult to dope into the parent compound Pb<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>O (PPO) [4-6]. Theoretical calculations have also confirmed this, revealing that the concentration of Cu doping is only 0.24% [8], which does not align with the experimental requirement of a 5–10% concentration [1,2]. Additionally, the synthesis process may result in the formation of the byproduct Cu<sub>2</sub>S, which Zhu et al. [7] suggested could be the source of the reported first-order phase transition in the original documentation of LK-99. To circumvent the interference caused by Cu2S, Puphal et al. [6] used the traveling solvent floating zone growth method to synthesize single crystals of  $Pb_{10-x}Cu_x(PO_4)_6O$  (x = 1), which are highly insulating and optically transparent, leading to the conclusion that these crystals are most likely not superconducting. Beyond this, density functional theory (DFT) calculations have found that it is only when Cu substitutes for the Pb(1) sites that LK-99 exhibits band crossing at the

\*Contact author: scxbyang@scut.edu.cn †Contact author: yaoyao2016@scut.edu.cn ‡Contact author: zhaoyj@scut.edu.cn Fermi level. However, the energy for Cu substitution at the two distinct crystallographic sites in the parent compound of LK-99, denoted as Pb(1) and Pb(2), is very close, with a slight preference for substituting the Pb(2) site [9–11]. This implies that, under the already challenging conditions for Cu doping into the parent compound, the randomness in the available substitution sites for Cu further reduces the probability of achieving an appropriate structure for superconductivity.

Authors of recent articles have reported observing possible signs of room-temperature superconductivity upon doping S into PPO, including the observation of a possible Meissner effect and a reduction in room-temperature resistivity from an insulating state to  $2 \times 10^{-5} \Omega$  m [12,13]. This suggests that the introduction of S into the material may affect aspects such as Cu doping concentration, defect configuration, and electronic properties. However, the available calculations have been performed on the PPO parent compound without considering the sulfur-containing parent compound. Therefore, a systematic study is necessary. Considering the stability of the PO<sub>4</sub> group, it may be challenging for S doping to occur within it. Previous theoretical calculations have confirmed that, in a synthesis environment with excess S, S is likely to replace oxygen atoms at the Pb(2) site [8]. To simplify the analysis, we will only discuss the structure where S replaces the oxygen atom at the Pb(2) center in Pb<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>S (PPOS).

Here, we will briefly discuss the difference of structure between the parent compound PPO and its sulfur-containing variant PPOS. We present the calculated phase diagrams for Pb-P-O and Pb-P-O-S, with Cu-S-O constraints applied to the latter, to establish the chemical potential ranges for PPO and PPOS. Subsequently, the impact of S introduction on the preference for Cu substitution sites is discussed as well as the influence between different channel and the concentration of Cu. Moreover, we carefully analyze the properties of the most stable structure we found. Interestingly, our findings reveal that Cu preferentially substitutes the Pb(2) sites, with the Cu doping tending toward a disordered distribution. Although

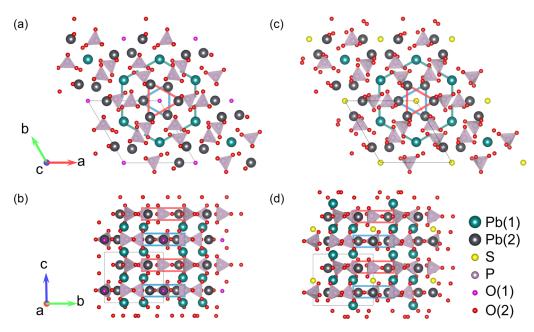


FIG. 1. (a) Top and (b) side views of the parent compound of LK-99 with chemical formula  $Pb_{10}(PO_4)_6O$  (PPO). The green lines indicate the channel formed by Pb(1) sites, while the blue and red lines denote the oppositely shaped triangle form by Pb(2) sites. (c) Top and (d) side views of the crystal structure of PPOS with chemical formula  $Pb_{10}(PO_4)_6S$ .

the stable configurations identified exhibit semiconductor properties, the alignment with experimental synthesis procedures provides valuable direction for the discovery of an appropriate structure for possible apatite based superconductivity.

### II. COMPUTATIONAL DETAILS

We use SAGAR [14,15] to generate the nonequivalent substitution structures of Cu in different distribution. The subsequent calculations are performed in the framework of DFT as implemented in VASP [16,17]. The Perdew-Burke-Ernzerhof (PBE) functional in the generalized gradient approximation (GGA) was set to describe the exchange-correlation energy. The plane-wave cutoff was chosen to be 500 eV. A  $\Gamma$ -centered k-point grid with a k spacing of 0.05  $2\pi/\text{Å}$  was used for structural relaxations, the convergence criteria for the total energy and ionic forces were set to  $10^{-5}$  eV and 0.01 eV/Å, respectively. We employed the open-source Python package doped [18] to calculate the Kumagai-Oba finite-size correction, thereby enhancing the accuracy of the defect formation energy [19].

In the self-consistent field (SCF) calculations as well as in the computations of band structures and density of states (DOS), we reduce the k spacing to  $0.03 \ 2\pi/\text{Å}$ , and  $10^{-8} \ \text{eV}$  for the convergence criteria. The electronic correlation effects were described using the DFT + U method based on the Dudarev scheme  $U = 4 \ \text{eV}$  [20].

#### III. RESULTS

## A. Modified structure of lead apatite

The parent compound of LK-99 is lead-phosphate with the chemical formula Pb<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>O (PPO) [1,2]. In PPO, as

shown in Fig. 1, there exists two symmetry nonequivalent Pb sites, named Pb(1) and Pb(2). The Pb(1) sites form a one-dimensional channel along the *c* axis, as indicated by the green lines in Fig. 1. The Pb(2) sites are clustered into oppositely shaped triangular configurations across two layers, represented by blue and red solid lines in Fig. 1. An oxygen atom is situated at the center of the channel and lies coplanar with the triangles formed by the Pb(2) sites. Defect formation energies for Cu doping into PPO, either the Pb(1) or Pb(2) site, are very similar [9–11], suggesting a high degree of randomness in the substitution sites during experimental synthesis. This randomness could account for the difficulty authors of many studies faced in reproducing samples that exhibits levitation.

In a subsequent experimental synthesis by Wang *et al.* [12], the replacement of the central O atom at the Pb(2) site with an S atom resulted in samples that consistently demonstrated the possible Meissner effect. This suggests that the introduction of S atoms may significantly influence the doping of Cu in many aspects. Considering the stability of the PO<sub>4</sub> group and prior DFT calculations [8], we will solely consider the configuration where S substitutes for the central O atom at the Pb(2) sites, aligning with the formula  $Pb_{10-x}Cu_x(PO_4)_6S$  (PPOS), as presented by Wang *et al.* [12].

Replacing the central O atom at the Pb(2) sites in PPO with an S atom, after structure relaxation, S migrates to the center of the interlayer space between the two triangular planar. This migration of S slightly increases the lattice constants along the ab plane and decreases along the c axis (as listed in Table I), indicating an adjustment of the primitive cell dimensions to accommodate the larger S atoms while maintaining the overall structural integrity. Additionally, in Figs. 1(c) and 1(d), due to the presence of S vacancies, we can consider a layer consisting of S and the set of atoms forming triangles constituted by the adjacent Pb(2) sites.

TABLE I. The lattice parameter of compounds of PPO and PPOS.

	a (Å)	c (Å)	Error in a	Error in c
Experiment [1,2]	9.865	7.431		
PPO	10.021	7.485	1.5%	0.7%
PPOS	10.032	7.439	1.6%	0.1%

### B. Thermodynamic stability of PPO and PPOS

As the previous studies have shown that Cu substitution is limited <0.24% [8], much less than the 5–10% range claimed from experiments [1,2,8,12,13], we posit that the high proportion of Cu observed in experiments may not have been incorporated into the parent compound but may have likely formed other compounds, suggesting that the ratio of the appropriate structure could be very low. Consequently, in our subsequent calculations, we assume a low concentration of Cu doping and consider the scenario of defects at the dilute limit. We utilized the defect formation energy to evaluate the difficulty of doping Cu into the parent compound, with the formula as shown below:

$$\Delta H_f^{\alpha,q} = E(\alpha) - E(0) + \sum_{\alpha} n_{\alpha} \left( \Delta \mu_{\alpha} + \mu_{\alpha}^{\text{solid}} \right) + q(E_{\text{VBM}} + E_F) + E_{\text{corr}}, \tag{1}$$

where  $E(\alpha)$  and E(0) are the total energies of the supercell with and without defect  $\alpha$ . Here,  $n_{\alpha}$  is the number of each defect atom;  $n_{\alpha}=-1$  if an atom is added, while  $n_{\alpha}=1$  if an atom is removed. Also,  $\mu_{\alpha}^{\rm solid}=-E_{\rm coh}({\rm Solid})$ , where  $-E_{\rm coh}({\rm Solid})$  stands for the cohesive energy, and  $\Delta\mu_{\alpha}$  is the chemical potential with respect to its corresponding elemental crystal. Typically,  $\Delta\mu_{\alpha}=0$  eV corresponds to the maximum  $\alpha$ -rich condition. Furthermore, q represent the charge state, while  $E_{\rm VBM}$  and  $E_{\rm F}$  represents the energy at the valence band maximum of the defect free system and Fermi energy relative to the  $E_{\rm VBM}$ , respectively. The term  $E_{\rm corr}$  represents the Kumagai-Oba finite-size correction, which serves to mitigate the effects of the long-range Coulombic interaction between image charges that arise from the use of the supercell approach and its periodic boundary conditions [19].

Observations from experiments have indicated that unique properties can only be discerned when Cu and S are codoped into the parent compound [12]. Therefore, we assume the synthesis is in the maximum Cu-rich condition to achieve a higher substitution.

To address the thermodynamic stability of the doped parent compound and the subsequent chapters discussing the impact of S incorporation on Cu doping concentration, we have computed the phase diagrams for both the Pb-Cu-P-O and Pb-Cu-P-O-S systems. For the Pb-Cu-P-O system, we calculated the formation energies of all competing phases that can form from Pb-P-O, considering the potential competing phases that may arise from excess Cu-O-P during synthesis. The resulting phase diagram is depicted in Figs. 2(a) and 2(b), where the blue shaded region represents the chemical potential accessible under thermodynamic equilibrium.

The computation of the chemical potential range for the Pb-Cu-P-O-S system is somewhat more intricate than that for

PPO due to the increased elemental diversity, necessitating a separate discussion of the competitive phases for each constituent. First, we calculate the competing phase of Cu-S-O and project it in the  $(\Delta\mu_S, \Delta\mu_O)$  plane, as shown in Figs. 2(c) and 2(d). We can see the chemical potential mainly constrained by CuSO<sub>4</sub> and Cu<sub>7</sub>S<sub>4</sub>. To reduce the defect formation energy of Cu in the Pb-Cu-P-O-S system, it is necessary to lower the chemical potential of Pb, corresponding to S in rich condition  $\Delta\mu_S = -0.66$  eV. Subsequently, we fix the chemical potential of S and consider the phase diagram of Pb-P-O-S for host PPOS, as shown in Figs. 2(e) and 2(f). The chemical potential is mainly constrained by PbSO<sub>4</sub>, Pb<sub>2</sub>P<sub>2</sub>O<sub>7</sub>, and PbS.

In the following Sec. III C, we will discuss the impact of Cu doping configurations on the formation energy to identify the most stable structure. Given the large number of structures that need to be considered, we initially assume that Cu doping is electrically neutral to expedite the screening process for potential stable structures. In the subsequent Sec. III D, we will address the influence of charge state on the formation energy of a few stable structures, and the computational results will demonstrate that our assumption of electrical neutrality does not affect the main conclusions of the paper. Therefore, we can temporarily simplify Eq. (1) to the form below, to compute the defect formation energy of Cu doping:

$$\Delta H_f^x(\text{Cu}_{\text{Pb}}) = E[\text{Pb}_{10-x}\text{Cu}_x(\text{PO}_4)_6\text{S}] - E[\text{Pb}_{10}(\text{PO}_4)_6\text{S}] + x[(\Delta \mu_{\text{Pb}} + \mu_{\text{Pb}}^{\text{solid}}) - (\Delta \mu_{\text{Cu}} + \mu_{\text{Cu}}^{\text{solid}})].$$
(2)

To minimize the defect formation energy, it is necessary to set the chemical potential of Pb in poor conditions and Cu in the maximum-rich condition. The points that we ultimately select are indicated with red circles in Figs. 2(a) and 2(e). In the Pb-Cu-P-O system, we choose  $\Delta\mu_{\text{Cu}}=0$  and  $\Delta\mu_{\text{Pb}}=-1.13$  eV. In the Pb-Cu-P-O-S system, we choose  $\Delta\mu_{\text{Cu}}=0$  and  $\Delta\mu_{\text{Pb}}=-0.785$  eV.

## C. Configuration of Pb<sub>10-x</sub>Cu<sub>x</sub>(PO<sub>4</sub>)<sub>6</sub>O

As we currently lack information on the defect formation energies for structures with different concentrations of Cu, we initiate our discussion with a concentration consistent with the experiment (x = 1) as an initial attempt [12]. To consider the influence of configuration to the formation energy, we have replaced all possible configurations of two Pb ions with Cu within a  $1 \times 1 \times 2$  supercell. Utilizing SAGAR [14,15], we have generated 44 nonequivalent configurations to explore these effects. The final formation energies of the structures are depicted in Fig. 3(a), where blue circles represent the  $1 \times 1 \times 2$  supercell. The horizontal axis categorizes the structures based on the Pb sites substituted by Cu. It is evident from the results that the formation energy is significantly higher when Cu substitutes the Pb(1) sites compared with the Pb(2) sites. Authors of previous research on the PCPO structure revealed that the energies for Cu substitution at both Pb(1) and Pb(2) sites are very close [9], which may lead to a high degree of randomness in the experimental synthesis process. This suggests that Cu and S have a strong attraction so that the introduction of S causes Cu to preferentially occupy the

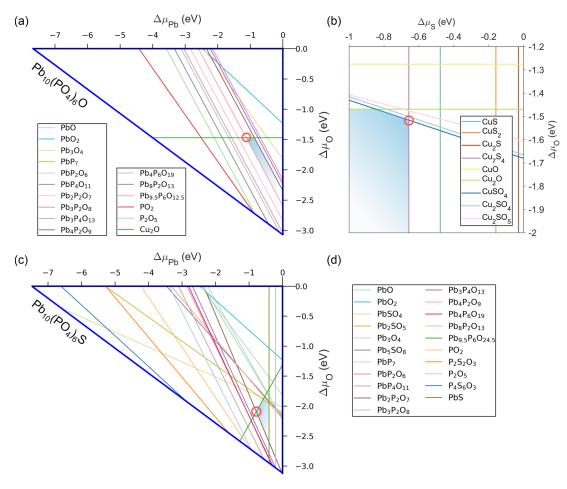


FIG. 2. (a) The phase diagram of  $Pb_{10}(PO_4)_6O$  projected in  $(\Delta\mu_{Pb}, \Delta\mu_O)$  plane, where  $\Delta\mu_{Cu\text{-rich}} = 0$  eV. The blue-shaded area represents the chemical potential range that the host exists under equilibrium. (b) The chemical potential range of S and O in Cu maximum rich condition  $(\Delta\mu_{Cu} = 0)$ . (c) and (d) The phase diagram of  $Pb_{10}(PO_4)_6S$  projected in  $(\Delta\mu_{Pb}, \Delta\mu_O)$  plane, where  $\Delta\mu_{S\text{-rich}} = -0.66$  eV. The red circles in (a)–(c) indicate the chemical potential values where the defect formation energy for Cu substituting Pb is minimized.

Pb(2) sites, thereby increasing the likelihood of obtaining specific structures in experiments. Although we have not initially considered the impact of defect charge state on the formation energy, the differences in formation energy when Cu doping occupies various Pb sites are substantial, indicating a marked preference for Cu to substitute at the Pb(2) sites. Consequently, we will confine our subsequent discussions to scenarios where Cu occupies the Pb(2) sites.

To discuss whether different distributions of Cu between channels would affect the formation energy, like the  $1\times1\times2$  supercell, we utilized SAGAR to substitute two Pb atoms with Cu within the  $2\times1\times1$  supercell, which possesses two independent channels. The computational results, as indicated by the red diamond symbols in Fig. 3, show that, when Cu is dispersed across two channels, the impact of different Cu distributions on the defect formation energy is relatively small. This suggests the distribution of Cu has no remarkable effect on the defect formation energy between different channels. Consequently, the distribution of Cu between two channels can be regarded as a uniform distribution within a single channel. This is consistent with the stable structure in the  $1\times1\times2$  supercell, which also exhibits a uniform distribution. This also explains why, despite a certain degree

of computational error between the  $1 \times 1 \times 2$  and  $2 \times 1 \times 1$  supercells, the defect formation energies of their most stable structures are very close.

When Cu is aggregated within the same channel, the resulting lowest energy is lower than that of Cu distributed across two channels. Nonetheless, the peak energy values observed can surpass those of the corresponding substitution sites within the  $1\times 1\times 2$  supercell, indicating that the distribution of Cu within a single channel has a significant impact on the defect formation energy.

### D. Distribution and concentration of Cu doping

To discuss whether Cu doping in the channels tends to aggregate or disperse as well as the doping concentration, we calculated the formation energies for a total of 12 nonequivalent structures with varying numbers of Cu replacing Pb(2) sites in the primitive cell. Furthermore, considering the substantial lattice distortion induced by Cu, we aimed to mitigate the interactions between channels at elevated Cu concentrations. To achieve this, we selectively substituted atoms with Cu in a single channel of the  $2 \times 2 \times 1$  supercell, which encompasses four distinct channels, while maintaining the

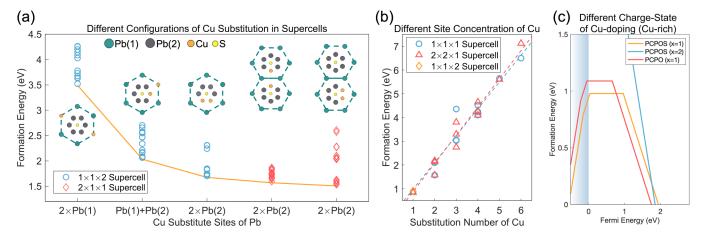


FIG. 3. (a) Formation energy of  $Pb_{10-x}Cu_x(PO_4)_6O$  with different distributions of Cu. Blue circles represent data from the  $1 \times 1 \times 2$  supercell structure, while red diamonds correspond to the  $2 \times 1 \times 1$  supercell structure. The structures inserted correspond to different columns and are the schematic top-view representation of the structure with the lowest energy.  $2 \times 1 \times 1$  supercell structures are classified into two columns based on the number of channels substituted by Cu as shown in the corresponding structure figure. (b) The formation energies for the primitive cell and  $1 \times 1 \times 2$  and  $2 \times 2 \times 1$  supercells with varying amounts of Cu substitution and different configuration. Blue circles represent the structures of the  $1 \times 1 \times 2$  supercell, while red triangles and yellow diamond denote the structures of the  $2 \times 1 \times 1$  and  $1 \times 1 \times 2$  supercells. In the case of the  $2 \times 1 \times 1$  supercell, Cu substitutes only in 1 of the 4 independent channels. Dashed lines represent the trend fitted based on the structural formation energies. (c) The formation energies in different defect charge state for PCPOS with x = 1 and 2 as well as for PCPO with x = 1, utilizing a  $1 \times 1 \times 2$  supercell and modeling the defect within a single unit.

equivalence of the structural configurations in this channel with those of the primitive cell.

As shown in Fig. 3(b), the formation energies of the corresponding structures in the  $2 \times 2 \times 1$  and primitive cells are very close. The energy differences observed in certain structures may arise from the complexity of their potential energy surfaces, leading to entrapment in different local minima during the optimization process. Moreover, structures with significant energy differences are inherently unstable and have relatively higher formation energies. Based on the fitted curves, both datasets exhibit consistent trends, indicating that the variations resulting from structural optimization do not affect subsequent analyses. As the concentration and distribution of Cu across different channels have a negligible impact on the defect formation energy, it implies that the main factor affecting the formation energy is the quantity x of Cu within given channels.

To further investigate whether Cu atoms in the same channel influence each other across different layers, we substituted a single Cu atom into a Pb(2) site within a  $1 \times 1 \times 2$  supercell, with the formation energy depicted as the orange diamond in Fig. 3(b). It is observed that the formation energy is closely similar to that of the  $1 \times 1 \times 1$  and  $2 \times 2 \times 1$  supercell structures, indicating that the distribution of defects within the structure is influenced minimally by interactions not only between channels but also between different layers within a single channel. Thus, we can dissect the structure into layered hexagonal units defined by the channels formed by the Pb(1) sites and the layers constituted by the Pb(2) sites. We propose that this minimal influence is attributed to the interactions between Cu atoms being weaker than those between Cu and S. It is only when multiple Cu atoms are present within the same unit that the mutual interactions between Cu atoms become pronounced, significantly affecting the formation energy. Consequently, with PPOS as the parent compound, Cu defects are inclined toward a disordered distribution.

Furthermore, it can be observed in Fig. 3(b) that, as the degree of Cu aggregation increases, the formation energy also monotonically increases. Considering that short-range Coulombic interactions may significantly affect the aggregation of charged Cu doping, we calculated the defect formation energies for different charge states at x = 1 and 2. Additionally, we computed the structure with Cu occupying the Pb(2) sites in PPO at x = 1. To minimize the image charge issue arising from the periodic boundary conditions used in the supercell approach, we employed a  $1 \times 1 \times 2$  supercell and applied a finite-size correction [18,19]. As shown in Fig. 3(c), even when accounting for the effects of charge state, the formation energy of the x = 2 structure in PPOS remains higher than that of the x = 1 structure, thus confirming the latter as the most stable. Comparing the defect formation energies of x = 1 for PPO and PPOS, the defect formation energy of PCPO remains higher than that of PCPOS when Cu doping is at +1and +2 valence states. Only when Cu doping is at the -1charge state might the formation energy of PCPO be lower than that of PCPOS. Given that our calculations utilized the PBE functional, which tends to underestimate the band gap, the value of  $E_{VBM}$  may be overestimated, resulting in the +1and +2 valence states not being within the Fermi energy range that can be accessed.

It should be noted that the variable x here quantifies the enrichment of Cu within a unit and does not represent the overall defect concentration. We calculate the site defect concentration [n] at thermal equilibrium using the following formula:

$$[n] = \exp\left(-\frac{\Delta H_f}{k_B T}\right)$$
, (at. % of all Pb sites), (3)

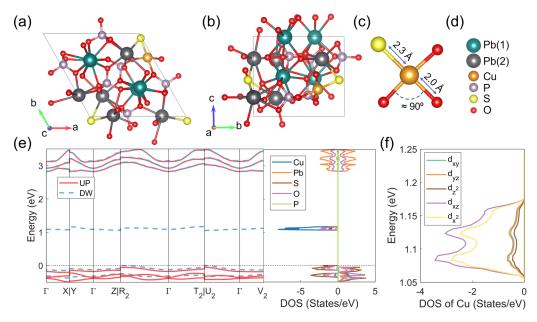


FIG. 4. (a) Top and (b) side views of the most likely structure Pb<sub>9</sub>Cu(PO<sub>4</sub>)<sub>6</sub>S (PCPOS). (c) The local structure of PCPOS formed by Cu-O-S. The labels of atom in (a)–(c). (d)The band structure of PCPOS, the blue dashed line represents the spin-down band, and the red solid line represents the band is spin up. (e) Total density of states (DOS) of the PCPOS. (f) DOS of Cu in defect level.

where  $\Delta H_f$  is the formation energy we have calculated before and  $k_B$  is the Boltzmann constant. Here, the temperature Tis assumed to be consistent with the experimental synthesis temperature of 1173.15 K [12]. As shown in Fig. 4(e), the PCPOS (x = 1) structure is semiconducting in nature, with no flat bands crossing the Fermi level, indicating that this structure is likely not among the appropriate configurations. Consequently, we have not proceeded to generate all possible defects for this structure to determine the equilibrium Fermi level. Instead, we have utilized the formation energy of electrically neutral Cu doping to qualitatively compare the impact of sulfur introduction on the doping concentration of Cu. The thermodynamic equilibrium concentration is  $\sim 0.018\%$  for the PPOS host and  $\sim 0.008\%$  for the PPO host. This contrasts with previous studies that concluded a maximum Cu doping concentration of  $\sim 0.26\%$  in PPO, which is inconsistent with our findings. This discrepancy may arise from the high sensitivity of the thermodynamic equilibrium concentration calculation to the formation energy, where computational errors can lead to an order of magnitude variation in the estimated concentration. Our computational parameters included a cutoff energy of 500 eV and U = 4 eV and a 400 eV cutoff energy and U = 5 eV, all of which could significantly impact the formation energy. Moreover, the absence of experimental values to calibrate the computational results makes it challenging to estimate the magnitude of computational errors, which could be one reason for the substantial discrepancy between the calculated defect concentration and the concentrations claimed by experiments. However, under our computational conditions, it can be qualitatively explained that Cu doping in PPOS can enhance the doping concentration of Cu. Furthermore, the specific concentration values do not influence the primary outcomes of this investigation.

### E. Structural and electronic properties

Given the significant difference in defect formation energies between x = 1 and 2, it can be inferred that the probability of forming structures with x = 2 during synthesis is exceedingly low. Consequently, in the doping process within PPOS, the most likely structure to be obtained is Pb<sub>9</sub>Cu(PO<sub>4</sub>)<sub>6</sub>S (PCPOS), as depicted in Figs. 4(a) and 4(b). Interestingly, we have observed a propensity for Cu-O-S to form an approximately planar quadrilateral structure, as shown in Fig. 4(c), which bears a resemblance to the Cu-O planes found in high-temperature cuprate superconductors [21]. The bond angles within this structure are  $\sim$ 90°, with the Cu-O bond length measured at  $\sim$ 2.0 Å and the Cu-S bond length at  $\sim 2.3$  Å, which is notably longer than that of the Cu-O bond. Analyzing the Bader charge, Cu is in the +1oxidation state and S in the -1 oxidation state, in line with prior research [8]. In other concentrations of Cu doping, the most stable configurations consistently exhibit the formation of such local Cu-O-S structures.

Nevertheless, the calculated band structures and electronic DOSs are shown in Figs. 4(d)–4(f). PCPOS is a semiconductor with 1.1 eV band gap, with a ferromagnetic ground state. The orbital is mainly attributed by  $d_{xz}$  and  $d_{z^2}$ . From the band structure, it can be observed that the defect energy levels correspond to downward-spin bands, primarily contributed by Cu, O, and S, corresponding to the planar quadrilateral structure composed of these three elements.

In addition, we have concurrently computed the electronic properties of the most stable configurations for Pb(2) site substitution with different concentrations of x within a single channel, which were all found to be semiconductors. This conclusion is consistent with the results obtained when the parent compound is PPO and Cu substitutes for the Pb(2)

sites [9–11]. Furthermore, we have observed the trends in the changes of band structures at various concentrations, all exhibiting ferromagnetism, with the defect levels primarily contributed by Cu. The results presented herein elucidate that the critical element dictating the electronic characteristics of the synthesized material postsynthesis is Cu, while the impact of S on the electronic properties is relatively minor.

### IV. DISCUSSION

Incorporating Cu into PPOS is influenced by the presence of S, which can qualitatively address the issue of low Cu doping concentration. However, it enhances the propensity of Cu to substitute Pb(2) sites, resulting in a semiconductor energy band structure. Authors of previous research have discussed that only when Cu replaces Pb(1) sites can a flat band across the Fermi level emerge, which is considered one of the possible characteristics of superconductivity. Therefore, to obtain an appropriate structure for superconductivity during the synthesis process, we should consider how to dope Cu into Pb(1) sites as much as possible, and thus, PPOS should not be used as the parent structure for doping.

In recent experiments, the synthesis procedure was adjusted [13], leading to a significant improvement in the performance of the sample. The samples were first synthesized through a hydrothermal-calcination method, resulting in a gray, insulating powder. The samples were then ball-milled to nanoscaled particles, soaked in an S solution, and finally subjected to a high-pressure reaction vessel, causing the powder to reorient.

Because the defect formation energies of Cu doping in Pb(1) or Pb(2) sites is similar [9–11], we speculate that the purpose of first synthesizing PCPO is to obtain a structure with Cu partially replacing Pb(1) sites. The magnetic and electric properties emerged only after the powder was separately doped with S, indicating a significant impact of S on electronic properties. The powdering process is speculated to be necessary due to the difficulty and uneven distribution of Cu doping in the sample, as observed in early research [6], where different transparency areas are present. It also suggests the possible presence of a high concentration of S in the final sample. Interestingly, oriented alignment was observed during the compaction process, indicating interactions between different units. However, when S is at the center of the Pb(2) site, it is difficult to generate strong interactions due to the larger distance between the unit and other units, leading to a tendency for disorderly distributed defects. Therefore, the occurrence of oriented alignment suggests that S likely enters PO<sub>4</sub> group, utilizing the interactions between S and Cu to attract suitable structures to form pathways.

In summary, we speculate that an appropriate structure for superconductivity may involve Cu occupying Pb(1) sites and S replacing the PO<sub>4</sub> group, with a possible chemical formula of Pb<sub>10-x</sub>Cu<sub>x</sub>(PS<sub>y</sub>O<sub>4-y</sub>)<sub>6</sub>S. Furthermore, since S has little impact on the electronic properties in PCPO, its primary role during the synthesis process is to influence the distribution of Cu. Due to the low concentration of Cu doping, the presence of S allows for the aggregation of suitable Cu-substituted structures, which in turn form a pathway. This phenomenon is manifested as an oriented alignment in the experimental observations.

### V. CONCLUSIONS

Our findings elucidate that the transition from PPO to PPOS as the parent compound enables stable existence. The emergence of S atoms increases the preference for Cu to substitute at the Pb(2) sites. Additionally, the configuration of Cu between different units has a minimal impact on the defect formation energy, implying that the defects may be distributed in a disordered manner. Considering the effects of charge state on formation energy, PCPOS is still identified as the most stable configuration. By employing the defect formation energy of PCPO and PCPOS calculated under conditions of neutral doping to determine the doping concentration, it can be qualitatively concluded that the incorporation of sulfur tends to increase the doping concentration of Cu. Subsequently, we calculated the electronic properties of PCPOS and found that, upon doping with Cu, when Cu occupies the Pb(2) site, the material remains a semiconductor, consistent with the electronic properties of Cu occupying the Pb(2) site in PPO [9–11]. This indicates that S has a minimal impact on the electronic properties. Finally, in light of our computational results and the experimental synthesis process, we propose that an appropriate structure for superconductivity is likely to be  $Pb_{10-x}Cu_x(PS_vO_{4-v})_6S$ . The role of S in this context is to aggregate the low-concentration Cu defects and form a pathway during the high-pressure reaction vessel process. However, a more systematic and in-depth discussion is required regarding the stoichiometry within  $Pb_{10-x}Cu_x(PS_vO_{4-v})_6S$  as well as the stability and electronic properties of the corresponding structures.

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