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## Theoretical simulation and experimental verification of the competition between different recombination channels in GaN semiconductors

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The dynamic competition of deep defect levels related to recombination processes is a crucial factor for a wide range of applications in semiconductors; however, time-resolved luminescence spectroscopy is very complex and challenging for clear explanations, and in time-resolved photoluminescence (TRPL) experiments, it is difficult for a single instrument to observe the decay processes across several orders of magnitude simultaneously. Based on the Shockley–Read–Hall (SRH) model, we present a method to simulate defect-related TRPL processes on timescales ranging from the picosecond to millisecond scale. Our approach considers the competition among the band edge and defect-related radiative and nonradiative recombination channels. In an n-type GaN sample, we demonstrate that following pulse laser excitation, the substitutional defect  $C_N$  related yellow luminescence (YL) exhibits a double-exponential decay. The fast decay in hundreds of picoseconds is due to the band edge emission (BE), while the well-known slow decay at the microsecond scale is induced by electron relaxation from the conduction band to the defect state. The fast and slow decay lifetimes of YL in verification experiments are all in good agreement with our simulated results. This work provides an explicit physical picture of defect-related luminescence and the competition of different recombination channels in GaN.

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### 1. Introduction

Wide-bandgap semiconductors (WBGs) are widely used as transducers,<sup>1</sup> light-emitting diodes (LEDs),<sup>2</sup> high electron mobility transistors (HEMTs)<sup>3,4</sup> and other applications owing to their large bandgaps, large piezoelectric effects and high breakdown voltages. The deep impurity levels often exist in

WBGs, and they can be an optical center or a thermal center. Several experimental techniques are currently used to detect and quantify the point defects in WBGs. Deep-level transient spectroscopy (DLTS) can detect the carrier-capture cross section of the point defects with low concentrations.<sup>5,6</sup> Positron annihilation spectroscopy (PAS) is efficient in detecting negatively charged defects, especially cation vacancies.<sup>7</sup> Electron paramagnetic resonance (EPR) spectra can effectively detect the defect level position in semiconductors.<sup>8</sup> One of the most popular tools to explore point defects is photoluminescence (PL). In principle, it can be used to detect point defects with both shallow and deep energy levels and determine their concentrations, charge states, the strength of electron–phonon coupling, etc.<sup>9–11</sup>

Time-resolved photoluminescence (TRPL) is a widely used spectroscopic technique in the physical characterization of semiconductors, which can provide time-dependent carrier dynamics and recombination information.<sup>12</sup> Although rich in its information, understanding the internal mechanism of the TRPL measurement has faced a big challenge due to the complicated competition between different channels. For n-type GaN, there are many publications about the most famous defect-related yellow luminescence (YL), and the corresponding decay lifetimes in different reports range from picoseconds<sup>13</sup> to

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microseconds.<sup>14,15</sup> H. Haag and his co-workers proposed that the various lifetimes of YL in GaN measured by different experiments may arise from diverse excitation intensities and associated detection systems.<sup>13</sup> R. Y. Korotkov and J. Mickevicius proposed that the lifetimes of different magnitudes of YL are derived from different luminescence mechanisms.<sup>16,17</sup> Furthermore, they hypothesized that the very fast decay observed at high excitation levels is related to the exciton band tail, but no detailed evidence and explanation were provided. All these show the current gap in the understanding of the defect-related TRPL processes.

In view of the current situation, a more detailed microscopic understanding of TRPL is urgently needed, which should take into account the competition between different channels. However, the competition among the recombination channels related to deep impurity levels is complicated, and the lack of theories to understand this has obstructed the application of WBGS. M. A. Reshchikov and co-workers employed a useful phenomenological model to describe the decays of defect-related yellow, red, green and blue luminescence in GaN.<sup>18–21</sup> In their work, only the decay on the microsecond scales of YL was presented, and the decay lifetime in the picosecond scales reported in ref. 13 is not mentioned. Moreover, some of the key parameters in their model are derived from the fitting of experimental results. As we know, the experimental samples contain a variety of defect centers, and the fitted parameters actually contain the combined effects of multiple defect centers. Herein, we aim to map in detail the competitive processes between the specific defect-related YL and the intrinsic BE recombination channels over a long-time range. More importantly, instead of using fitting parameters as in the phenomenological model, we adopted *ab initio* calculated channel rates, including radiative decay and thermal nonradiative decay rates. This has significantly reduced the uncertainty in our prediction. Heyd–Scuseria–Ernzerhof (HSE) hybrid density functional theory<sup>22,23</sup> is used to provide accurate electronic structures of point defects.<sup>10,24,25</sup> Several methods have been used to describe the defect related nonradiative recombination (NRR) process.<sup>25–28</sup> As shown in our previous studies,<sup>25,28</sup> the static coupling theory as implemented in PWmat<sup>29,30</sup> can provide accurate results for the NRR process in point defects of III–V compounds. Based on Fermi's Gold rule, we can also calculate the radiative recombination (RR) coefficient between different energy levels.<sup>31,32</sup> Following these *ab initio* calculations, the carrier dynamics and the defect-related TRPL process can be directly simulated without any fitting parameters.

This paper is organized as follows. The theoretical model of TRPL is described in Section II. We give the details of simulated results and discussion of YL decay in Section III. In Section IV, we outline the experimental verification for the simulated fast and slow decay processes of YL, respectively. Section V concludes the paper.

## II. Theoretical model of TRPL

In this paper, we use the dynamic competition between defect-related YL and intrinsic BE emission in n-type GaN as an

example to illustrate this approach. As shown in Fig. 1(a), under excitation of pulsed laser light (G), non-equilibrium electrons and holes are generated in the conduction and valence bands, respectively, followed by some carrier transitions associated with band edges and defect centers. Fig. 1(b) shows a simplified schematic diagram of the TRPL process, and the pulse excitation starts at  $t = 0$ . The horizontal axis range of the black vertical lines corresponds to the range of the TRPL light detection system in the experiment, and the distance between the two adjacent black vertical lines corresponds to the resolution of the detection system. It is well known that the internal quantum efficiency of GaN samples grown on Si substrates used in our work is very low, which is due to some defects such as grain boundaries and stacking faults in the sample that can become nonradiative recombination centers. It will introduce the unknown defect states into the bandgap and reduce the lifetime of minority carriers. Yutaka *et al.* listed several carrier capture centers in n-type GaN samples grown on a Si substrate and confirmed that the most important hole capture center was the H1 center (The energy levels are about 0.86 eV~0.88 eV above the valence band maximum), which was responsible for the YL in GaN. In addition, the average concentration of the H1 center ( $4.2 \times 10^{16} \text{ cm}^{-3}$ ) is three orders of magnitude higher than the average concentration of the main electron capture center ( $4.9 \times 10^{13} \text{ cm}^{-3}$ ).<sup>33</sup> To further determine the recombination channels in our model, room temperature-steady-state PL tests were carried out in advance. As shown in Fig. 2, our BE emission with a maximum of 3.40 eV and YL emission with a maximum of 2.20 eV are well separated (actually separated by a weak BL emission peak). By integrating the region of the spectrum, it can be seen that the intensity of BL only accounted

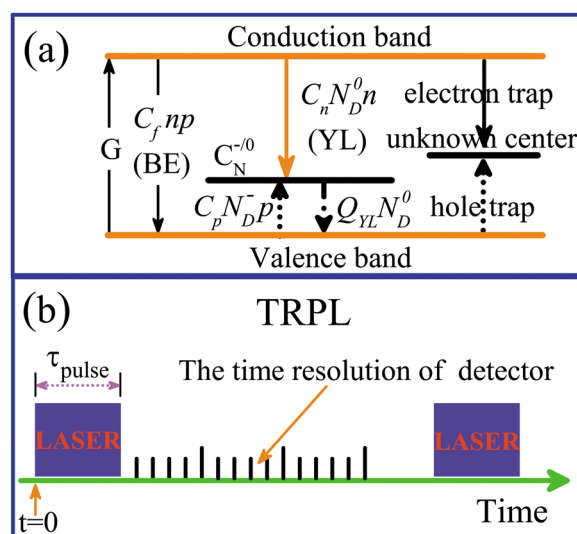


Fig. 1 Diagram to show the relevant excitation and recombination processes in GaN containing YL (a), and the simulated TRPL process (b). The radiative recombination, nonradiative recombination and thermal excited processes are indicated by a solid line, black dotted line and black dash dotted line, respectively. The unknown deep center-related recombination processes are indicated by a blue dotted line. The time zero ( $t = 0$ ) of our simulation process is labeled in (b).

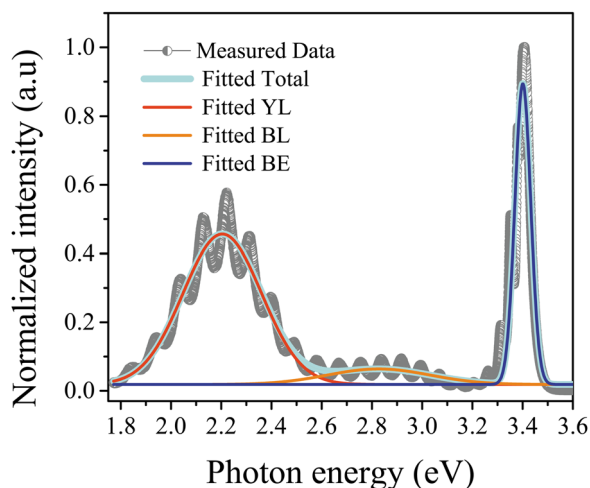


Fig. 2 Steady-state PL results of the sample N-836 that we used in the latter TRPL experiments were measured at 285 K. The solid lines are calculated using the Gauss model  $y = y_0 + (A/(w \times \sqrt{\pi/2})) \times \exp(-2 \times ((x - xc)/w)^2)$ , with the following parameters:  $y_0 = 0.02$ ;  $xc = 2.20$ ;  $w = 0.31$ ;  $A = 0.17$  for the YL band;  $y_0 = 0.02$ ;  $xc = 2.83$ ;  $w = 0.41$ ;  $A = 0.02$  for the BL band;  $y_0 = 0.02$ ;  $xc = 3.40$ ;  $w = 0.06$ ;  $A = 0.07$  for the BE band.

for 7.7% of the total PL intensity at 285 K. Since, at this stage, the exact nature of the BL is not well known, and we will ignore it to simplify our model. In our latter TRPL experiment, the monochromator has a focal length of 550 mm and a grating groove line density of 1500 lines  $\text{mm}^{-1}$ , which can filter out the near-band-edge emission perfectly. In addition, for samples without YL emission, we cannot detect any spectral signals at the same YL wavelength. This proves that the YL we measured comes from a defect and not stray light. It is widely believed that the origin of the carbon-related YL in GaN is associated with  $C_N$  or  $C_N + O_N$  defects, and the defect level for the carbon-related defect is at about 0.7 eV above the valence band maximum (VBM).<sup>8</sup> In our recent work,<sup>34</sup> local vibrational modes (LVMs) of isolated  $C_N$  in C doped GaN samples are directly observed by performing Fourier Transform infrared spectroscopy and Raman scattering experiments. However, the LVMs of  $C_N + O_N$  are not observed even in the highest C doped samples ( $\sim 10^{20} \text{ cm}^{-3}$ ), which demonstrates that the transition between “–” and “0” charge states of the  $C_N$  defect is responsible for the YL in our C doped GaN samples. The concentrations of C impurity in our samples used in the latter TRPL experiments are as high as  $7 \times 10^{17} \text{ cm}^{-3}$ , which are much higher than the concentration of carrier capture centers reported in ref. 33. Therefore, the unknown carrier capture centers are ignored in our model, and only the competition between the YL center (including radiative and nonradiative recombination channels) and band-edge recombination channels is considered.

In the present work, a 128-atom ( $4 \times 4 \times 2$ ) supercell is used to calculate the transition levels of  $C_N$  defects using the Vienna *ab initio* simulation package (VASP) code.<sup>35,36</sup> Both the undoped and doped GaN atomic structures were optimized with the mesh

of a  $1 \times 1 \times 1$   $k$ -point grid by using the screened<sup>22,23</sup> functional of HSE. As in a previous paper,<sup>25</sup> the mixing parameter ( $\alpha$ ) of HSE was set to 0.30. A kinetic energy cutoff of 450 eV was used in all calculations, and the force criteria were  $0.001 \text{ eV } \text{\AA}^{-1}$ . The calculated YL-related “–/0” transition energy of  $C_N$  is at 0.79 eV above the VBM. As shown in Fig. 1(a), the electron transition from the conduction band to the valence band corresponds to the BE emission with the RR rate of  $C_f np$  ( $RR_{BE}$ ).<sup>37</sup> Here,  $C_f$  is the RR coefficient for BE.  $n$  and  $p$  are the concentrations of free electrons and holes, respectively. The holes in the valence band can be captured nonradiatively by the negatively charged  $C_N^-$  defect state, and change to a neutral state  $C_N^0$ . The calculated RR coefficient of this process in our previous work is very small, about  $10^{-14} \text{ cm}^3 \text{ s}^{-1}$ .<sup>25</sup> It means that this transition *must be phonon related*, and the NRR rate is  $C_p N_D^- p$  ( $NRR_{YL}$ ),<sup>37</sup> where  $N_D^-$  is the negative defect state concentration. The energy difference between the defect level and the conduction band is up to 2.71 eV ( $E_{\text{Gap}} - 0.79$ ), while the energies of phonons are basically less than 100 meV.<sup>25</sup> Using the static coupling method, we can get the phonon-assisted NRR coefficient of the electrons located in the conduction band falling to the neutral impurity at room temperature is about  $10^{-64} \text{ cm}^3 \text{ s}^{-1}$ , much less than the RR coefficient of this process ( $\sim 10^{-13} \text{ cm}^3 \text{ s}^{-1}$ ). So this transition is independent of phonons, and YL will be released. The electron RR rate is  $C_n N_D^0 n$  ( $RR_{YL}$ ),<sup>37</sup> and  $N_D^0$  is the neutral defect concentration.  $C_p$  and  $C_n$  are the hole NRR and electron RR coefficients for the above two processes.  $Q_{YL} N_D^0$  is the hole thermal transition rate from the impurity level to the valence band maximum (VBM), and the coefficient  $Q_{YL}$  is related to  $C_p$  through a detailed equation:<sup>38</sup>

$$Q_{YL} = C_p N_v g^{-1} \exp\left(-\frac{\Delta E}{kT}\right)$$

with

$$N_v = 2 \left( \frac{m_h k_B T}{2\pi \hbar^2} \right)^{3/2}$$

where  $C_p$  is the hole nonradiative recombination coefficient of the YL center,  $g$  is the degeneracy factor of the acceptor level,  $N_v$  is the effective density of states in the valence band,  $\Delta E$  is the transition energy, and  $m_h$  is the effective mass of the holes in the valence band.

As shown in Fig. 1(b), the whole TRPL process contains the pulse photoexcitation duration ( $0 < t \leq \tau_{\text{pulse}}$ ) and the duration after the laser pulse ( $t > \tau_{\text{pulse}}$ ). The generation rate of photo-generated carriers ( $\frac{dn_G}{dt}$  and  $\frac{dp_G}{dt}$ ) during and after the laser pulse can be calculated as:<sup>39</sup>

$$\frac{dn_G}{dt} = \frac{dp_G}{dt} = \begin{cases} \int_0^{L_{\text{max}}} \frac{\alpha(\lambda) I_0 e^{-\alpha(\lambda)L}}{hc} dL, & 0 < t \leq \tau_{\text{pulse}} \\ 0, & t > \tau_{\text{pulse}} \end{cases} \quad (1)$$

where  $\lambda$  is the wavelength of the excitation light,  $\alpha(\lambda)$  is the absorption coefficients of the GaN layer,  $I_0$  is the excitation light

power density,  $h$  is Planck's constant,  $c$  is the light velocity, and  $L_{\max}$  is the effective thickness of the GaN absorber layer. Note that we take the assumption that the photo-generated carriers are well equilibrated spatially before different transitions. Thus, there is no need to solve spatial diffusion equations.

In our TRPL simulation, by referring to Fig. 1(a), the rate of change of the hole concentration in the valence band can be expressed as:

$$\frac{dp}{dt} = \frac{dp_G}{dt} - C_f np - C_p p N_D^- + Q_{YL} N_D^0 \quad (2)$$

Similarly, the rate of change of the electron concentration in the conduction band is expressed as:

$$\frac{dn}{dt} = \frac{dn_G}{dt} - C_f np - C_n n N_D^0 \quad (3)$$

The concentration of the charged YL related defect needs to satisfy the following equation:

$$\frac{dN_D^-}{dt} = -C_p p N_D^- + C_n n N_D^0 + Q_{YL} N_D^0 \quad (4)$$

Since we have an n-type GaN system, if we define the time at which the pulse excitation begins as  $t = 0$ , eqn (2)–(4) should satisfy the initial conditions  $n(0) = n_0$ ,  $p(0) = 0$ ,  $N_D^-(0) = N_D$  and  $N_D^0(0) = 0$ . By numerical calculation, we can figure out the time-resolved concentrations of  $n$ ,  $p$ ,  $N_D^-$  and  $N_D^0$  by solving simultaneous eqn (2)–(4). Thus, time-resolved YL can be obtained. Note that in order to simplify the model, we ignore the transition of the carrier from the impurity level to the conduction band under photoexcitation, because whether this process is considered will not affect the decay process of YL. The explanation will be given in Section III.

### III. Results and discussion

In our TRPL simulation, because it aims to explore the decay of YL in the order of picoseconds and microseconds, at least a femtosecond pulsed light source should be used. In order to be consistent with the light source used in the following experiment, the pulse width of the 266 nm pulse photoexcitation is 180 fs, and the peak power density is  $4.34 \times 10^7 \text{ W cm}^{-2}$  in the simulation. Because the pulse width is much shorter than the carrier recombination time to be considered in this paper, we can ignore the carrier recombination during the laser pulse. The absorption coefficient ( $1.77 \times 10^5 \text{ cm}^{-1}$  at 266 nm)<sup>40</sup> we used indicates that the incident depth of the photoexcitation is about 57 nm. Moreover, we can get the diffusion length of the hole by the formula  $L = \sqrt{D\tau}$ , where  $D$  is the diffusivity, and  $\tau$  is the total lifetime of the hole.  $D$  equals  $k_B T \mu / e$ , where  $k_B$  is the Boltzman constant,  $T$  is temperature, and  $\mu$  is the mobility. The total lifetime of the hole needs to be satisfied  $\tau^{-1} = \tau_0^{-1} + \tau_{\text{def}}^{-1}$ .  $\tau_0$  is the lifetime of the hole without the defect related recombination, and  $\tau_{\text{def}}$  is the lifetime of the hole in the defect related recombination processes. In our work, the mobility of the hole was set as  $\mu = 23 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  according to ref. 41. The lifetimes  $\tau_0$  and  $\tau_{\text{def}}$  in our sample correspond to the two decay lifetimes

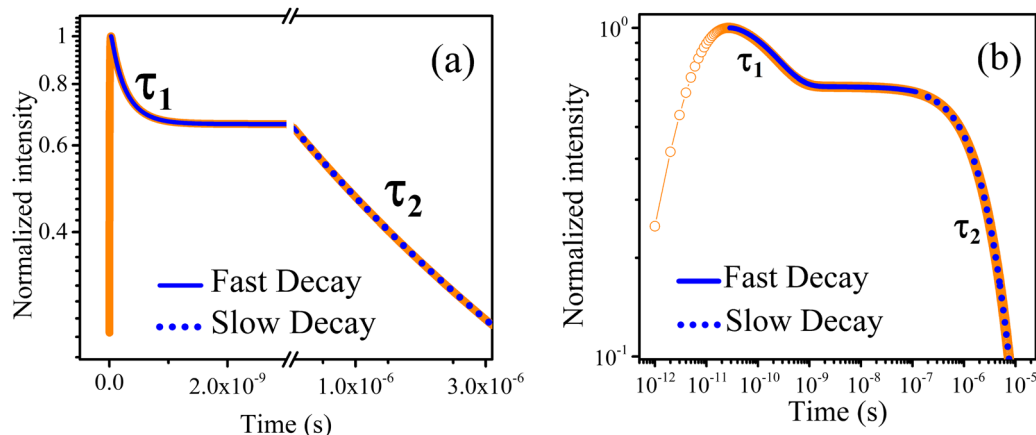
of BE in the latter TRPL experiment, which are  $1.96 \times 10^{-10} \text{ s}$  and  $1.05 \times 10^{-11} \text{ s}$ , respectively. The above parameters are substituted into the equation  $L = \sqrt{D\tau} = \sqrt{(k_B T \mu / e) \tau}$ , and we can obtain the diffusion length of the hole is about 24 nm, a similar scale to the light absorption length. Thus, by referring to ref. 38, we can assume that the carriers are roughly equilibrium spatially within 100 nm during the decay period of the hole. During this period and within this 100 nm, the originally negatively charged defects will be converted to neutrally charged defects. When the hole carrier has been depleted, the slow decay mechanism of YL occurs between the free electron and the neutrally charged defects. Since the neutrally charged defects are immobile and frozen in space, this effectively limits the process in the slow second decay period within the 100 nm region. Thus, we assume that the absorption and recombination of photons are all within the effective depth of 100 nm, and the carriers are evenly distributed within this depth. In addition, Table 1 lists the above critical parameters ( $C_f$ ,  $C_p$  and  $C_n$ ) calculated by *ab initio* methods in our previous work.<sup>25</sup> The intrinsic n-type free electron concentration ( $n_0$ ) before excitation of our simulated sample is  $4.5 \times 10^{17} \text{ cm}^{-3}$  (corresponding to the intrinsic electron concentration in our latter N-836 experimental sample). The effective density of states  $N_v$  is  $3.2 \times 10^{15} T^{3/2} \text{ cm}^{-3}$  according to ref. 18. It is difficult to directly determine the concentrations of the YL center. In the TRPL experiment discussed later in this paper, the BE luminescence shows a double exponential decay with lifetimes of  $1.96 \times 10^{-10} \text{ s}$  and  $1.05 \times 10^{-11} \text{ s}$ , respectively. Obviously, the  $1.96 \times 10^{-10} \text{ s}$  lifetime is caused by the direct transition from CBM to VBM ( $\frac{1}{C_f \times n}$ ), while the  $1.05 \times 10^{-11} \text{ s}$  lifetime is caused by the depletion of non-equilibrium holes of all nonradiative recombination channels, including the YL and other unknown centers. In our model, the nonradiative recombination rate of the YL center is calculated by first-principles, and a YL center concentration satisfying the condition ( $\frac{1}{C_p \times N_D^-} = 1.05 \times 10^{-11} \text{ s}$ ) is employed.

The above parameters are substituted into eqn (1), and we can get the photo-generated electron and hole concentrations ( $n_G = p_G = 8.68 \times 10^{17} \text{ cm}^{-3}$ ) at the end of the pulse. By solving simultaneous eqn (2)–(4), we can get the time-resolved  $I_{YL}$  and the results are shown in Fig. 3. In addition, the time-resolved  $n$ ,  $p$ ,  $N_D^-$ ,  $N_D^0$ ,  $RR_{BE}$  and  $RR_{YL}$  are shown in Fig. 4(a–c). In the whole simulation, the time steps  $dt$  are set to 1 ps. Note that in order to show both the fast and slow exponential decay processes in the same figure, Fig. 3(a) is divided into two regions. Both of their horizontal scales are linear, and the vertical scales are logarithmic. Meanwhile, the whole curve can be represented in a different plot with a logarithm scale for the time  $t$ , and it is shown in Fig. 3(b). Obviously, the intensity of YL will

**Table 1** Parameters of GaN samples analyzed in this paper. The recombination coefficients  $C_f$ ,  $C_n$  and  $C_p$  are cited from our previous work<sup>25</sup>

$C_f (\text{cm}^{-3} \text{ s}^{-1})$	$C_p (\text{cm}^{-3} \text{ s}^{-1})$	$C_n (\text{cm}^{-3} \text{ s}^{-1})$	$n_0 (\text{cm}^{-3})$	$N_D (\text{cm}^{-3})$
$4.24 \times 10^{-9}$	$2.67 \times 10^{-7}$	$3.16 \times 10^{-13}$	$4.50 \times 10^{17}$	$3.60 \times 10^{17}$



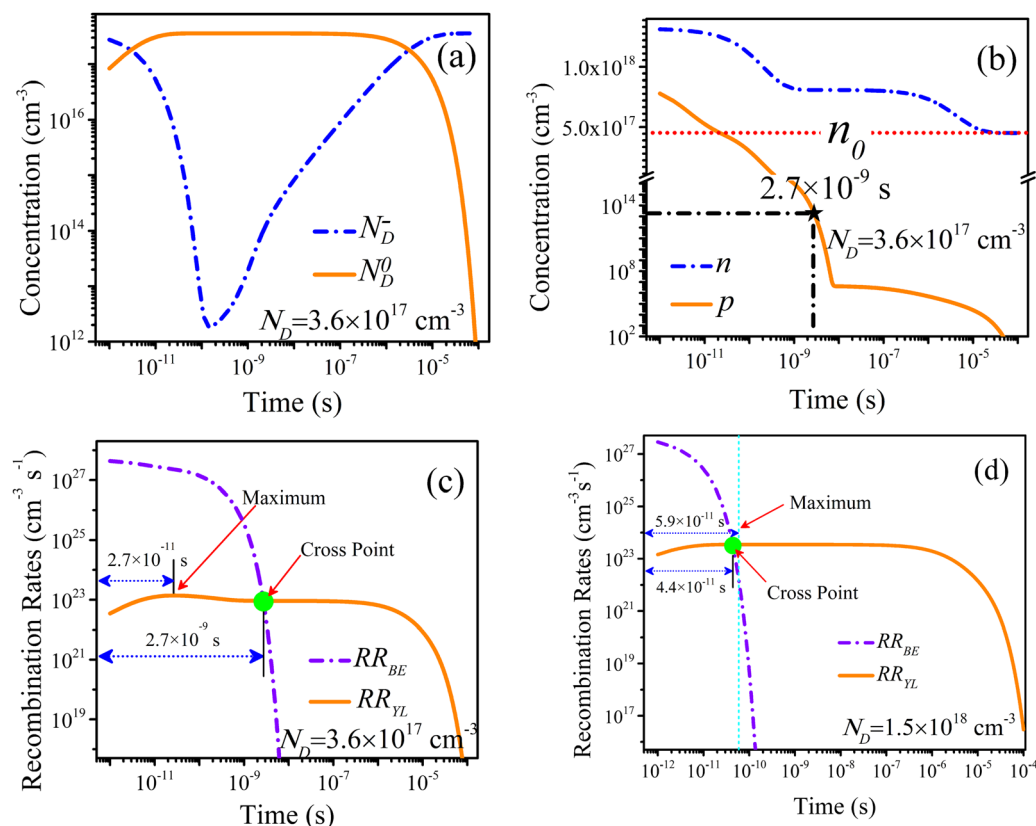


**Fig. 3** The simulated time-resolved  $I_{YL}$  where the horizontal time axes are in linear (a) and logarithmic (b) scales. The fast ( $\tau_1$ ) and slow ( $\tau_2$ ) decays are shown as blue solid and dotted lines, respectively. Note that the horizontal axis in (a) has been divided into two regions, both are in linear scale, but with dramatically different scaling factors.

increase first, then gradually shows a very obvious double-exponential decay, and the fitted fast and slow decay lifetimes are  $\tau_1 = 2.48 \times 10^{-10}$  s and  $\tau_2 = 2.92 \times 10^{-6}$  s, respectively.

The initial rise and the later double stage exponential decays of the YL intensity are the results of competition between different recombination channels. In the n-type semiconductor

that contains deep defect levels like the model in Fig. 1(a), the impurity levels are all occupied at “–” charge state at the initial time (as shown in Fig. 4(a)). Corresponding to our experiment, the injection is large, and after the pulsed laser excitation, the total concentration of the electron is appreciably bigger than the original intrinsic n-type carrier concentration  $n_0$ .



**Fig. 4** The simulated time-resolved  $N_D^-$ ,  $N_D^0$  (a) and  $n$ ,  $p$  (b) when the defect concentration is  $3.6 \times 10^{17} \text{ cm}^{-3}$ . In (b), the intrinsic electron concentration  $n_0$  is indicated by a red horizontal dotted line. The simulated time-resolved  $RR_{BE}$  and  $RR_{YL}$  rates when the defect concentrations are  $3.6 \times 10^{17} \text{ cm}^{-3}$  (c) and  $1.5 \times 10^{18} \text{ cm}^{-3}$  (d), respectively. In (c) and (d), the maximums of  $RR_{YL}$  and the cross points of  $RR_{YL}$  and  $RR_{BE}$  are labeled. Note that all the horizontal axes are in the logarithmic scale.

We assume that the defects are all in “−” charge state when the pulse stops. The intensity of YL is proportional to the product of  $n$  and  $N_D^0$ . Because the NRR rate of the hole jumping up to the defect is much faster than the RR rate of the electron relaxation down to the defect, the concentration of the neutral charge state defect  $N_D^0$  increases immediately after light excitation (as shown in Fig. 4(a)), which corresponds to the initial steep increase of YL before  $2.7 \times 10^{11}$  s as shown in Fig. 4(c). As mentioned in Section II, the photoionization of electrons from impurity level into the conduction band during the laser pulse is ignored, which is due to the transition rate of an electron from  $C_N^-$  to the conduction band is much larger than the transition rate from valence band to conduction band. Even if the photoionization of electrons from the impurity level into the conduction band is taken into account in our model, part of  $C_N^-$  defects will change to  $C_N^0$  under light excitation. When the pulse stops, the remaining “−” charge state defects will also be rapidly changed to a “0” charge state due to the NRR process. Therefore, whether the photoionization of electrons from the impurity level into the conduction band is considered will only slightly affect the rising process of YL, and have no effect on the decay process of YL. After the initial increase of YL, there is a fast decay period until about  $2.7 \times 10^{-9}$  s. As will be shown in Fig. 4(c), during this period, the BE decay rate ( $RR_{BE}$ , the second term in eqn (3)) is much larger than the YL decay rate ( $RR_{YL}$ , the third term in eqn (3)). Meanwhile, as shown in Fig. 4(a and b), during this period, the  $N_D^0$  is essentially constant ( $N_D$ ) while the electron concentration  $n$  decreases from  $n_0 + n_G$  to  $n_0 + N_D$ . Thus, the first YL fast decay is dominated by the decrease of  $n$  caused by BE recombination. Note that this decay period is not a pure exponential. Rather the decay for  $n$  is like:  $n(t) = (n_G - N_D) \times \exp(-t/\tau_1) + n_0 + N_D$ . At the end of this period, due to  $RR_{BE}$  and the nonradiative recombination process, the hole carrier has been depleted (as shown in Fig. 4(b)). Because of this, the overall  $RR_{BE}$  rate has dropped significantly and becomes smaller than the YL RR rate between electron and neutral charge state defect as shown in Fig. 4(c). This starts the

second, slower decay period of YL. During this period, the change of electron concentration  $n$  is relatively small, from the initial  $n = n_0 + N_D$  to the final  $n = n_0$  within a factor of 2, while the concentration of neutral defect  $N_D^0$  varies from  $N_D$  to zero. Thus, this period is almost an exponential, marked by the exponential decay of  $N_D^0$ .

Given the above picture, one can estimate the rough lifetime of the first decay. Note that this process is dominated by the  $RR_{BE}$ , but it is not a pure exponential since both  $n$  and  $p$  are decreasing. Nevertheless, we can estimate a characteristic time as  $\tau_1^{YL} \approx 1/C_f(n_0 + 0.5(n_G + N_D))$ . The second decay is more pure, since the change of  $n$  is within a factor of 2 and the decay is mainly dominated by the decreasing of  $N_D^0$ . Thus we can estimate its lifetime as  $\tau_2^{YL} \approx 1/C_n(n_0 + 0.5N_D)$ . The fast and slow decays of YL correspond to the decays of electron concentration  $n$  from  $n_0 + n_G$  to and then from  $n_0 + n_G$  to  $n_0$  respectively. The  $n_0 + 0.5(n_G + N_D)$  and  $n_0 + 0.5N_D$  in above expressions are the average electron concentrations  $n(t)$  during these two periods. Due to the limitations of experimental instruments, previous works have reported only one of these two decays with one set of experimental measurements. This has contributed to the confusion in this field.

Since the defect concentrations of different samples vary greatly in the experiments, we next take a wide range of  $5.0 \times 10^{16} \text{ cm}^{-3}$ – $1.5 \times 10^{18} \text{ cm}^{-3}$  for the defect concentrations for a systematic study. The TRPL intensities of YL in different defect concentrations are shown in Fig. 5(a), and the corresponding fitted decay lifetimes are shown in Fig. 5(b). When the defect concentration ranges from  $5.0 \times 10^{16} \text{ cm}^{-3}$  to  $6.0 \times 10^{17} \text{ cm}^{-3}$ , as a result of the competition with the  $RR_{BE}$ , the shorter lifetimes of YL are maintained at the  $10^{-10}$  s scales ( $1/C_f(n_0 + 0.5(n_G + N_D))$ ), meanwhile, the long lifetimes of YL are all in the order of magnitude of microseconds due to its slow decay ( $1/C_n(n_0 + 0.5N_D)$ ). In addition, both fast and slow decay lifetimes decrease slightly with the increase of  $N_D$ . However, when the defect concentration is  $1.5 \times 10^{18} \text{ cm}^{-3}$ , as shown in Fig. 4(d), the time of the maximum point of  $RR_{YL}$

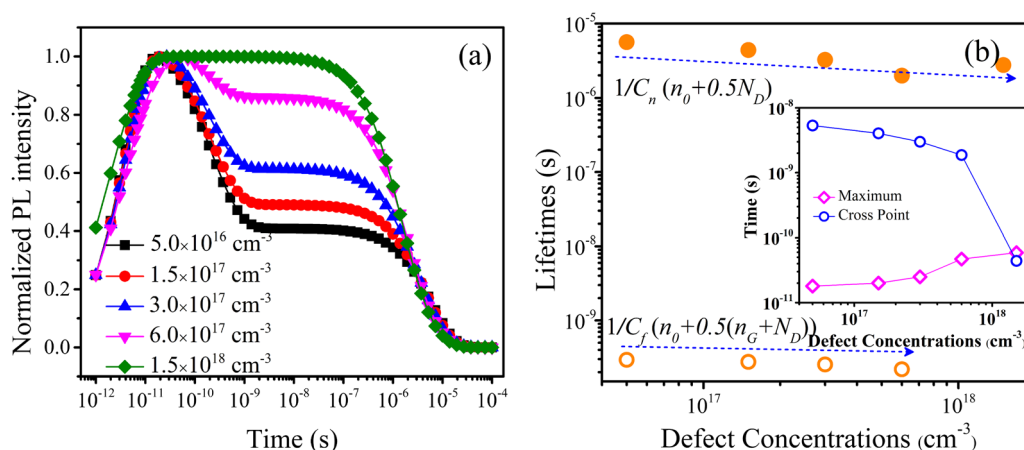


Fig. 5 The simulated decays of YL intensities when the defect concentrations are ranging from  $5.0 \times 10^{16} \text{ cm}^{-3}$  to  $1.5 \times 10^{18} \text{ cm}^{-3}$  (a), and the fitted decay lifetimes of YL at different defect concentrations (b). The hollow and solid symbols in (b) are the fitted fast and slow lifetimes of YL. The time of the cross points of  $RR_{BE}$  and  $RR_{YL}$  curves and the maximum point of  $RR_{YL}$  at different defect concentrations as shown in the inset of Fig. 5(b).

( $5.9 \times 10^{-11}$  s) precedes the cross point of  $RR_{BE}$  and  $RR_{YL}$  ( $4.4 \times 10^{-11}$  s). At the maximum point of  $RR_{YL}$ , the  $RR_{BE}$  is an order of magnitude smaller than the  $RR_{YL}$ , and it will decrease exponentially. In those cases, the decay of YL is dominant, and YL only has a microsecond decay time as shown in Fig. 5(b).

## IV. Experimental verification

In the TRPL experiment, a single instrument cannot simultaneously observe the decay lifetime of picosecond magnitude and microsecond magnitude. As a result, two sets of TRPL experiments were applied to verify the hundreds of picosecond and microsecond order of magnitude lifetime of YL in GaN that we just simulated. However, the same samples are used in these two experiments. The samples are carbon, silicon co-doped GaN film with a thickness of 2.6  $\mu\text{m}$  grown on Si substrate by metal-organic chemical-vapor deposition (MOCVD). The concentration of intrinsic electrons ( $n_0$ ) measured by the Hall effect and the concentrations of impurities (Si and C) measured by secondary ion mass spectrometry of the two samples are listed in Table 2. The substitutional Si on the Ga site acts as a shallow donor, which is pretty well known.<sup>42</sup> We do not need to consider the shallow donor in our simulation. Incorporation of carbon impurity into GaN will form the substitutional defect  $C_N$ , rather than the complex defect  $C_N + O_N$ . This is proved by the fact the content of O in the sample is under the detection limit ( $2.0 \times 10^{16} \text{ cm}^{-3}$ ).

In the first experiment, we used a laser emitting at 266 nm with a pulse width of about 180 fs and a repetition rate of 80 MHz. The average incident power is about 120  $\mu\text{W}$ , and the diameter of the laser spot on the sample is about 4  $\mu\text{m}$ . The resolution of the optical detection system is about 15 ps, and the detection range is about 4 ns. The measured TRPL intensities of YL are shown in Fig. 6(a) and show that YL intensities of the two samples both have picosecond magnitude decay. However, due to the experimental decay data of the two samples being very close and both having large noise, it is difficult to clearly distinguish the fast decay processes of the two samples. In the second experiment, the light source is a Xe-flash-lamp, emitting pulse of 2.5  $\mu\text{s}$  duration at 280 nm with a repetition rate of 80 Hz. The average power of the exciting light on the surface of the sample is 32 mW, and the diameter of the light spot on the sample is about 5 mm in order to achieve a small injection. The resolution of the optical detection system is about 0.5  $\mu\text{s}$ , and the detection range is about 0.1 ms. As shown in Fig. 6(b), the slow decay processes of YL of both samples are on the order of microseconds, and the double-exponential fitted decay lifetimes are  $2.86 \times 10^{-6}$  s,  $2.33 \times 10^{-5}$  s (for N-836) and  $7.68 \times 10^{-6}$  s,  $3.51 \times 10^{-5}$  s (for N-826),

respectively. We think that the decay lifetimes of  $2.33 \times 10^{-5}$  s and  $3.51 \times 10^{-5}$  s are associated with other channels in the samples. By substituting the relevant parameters of the samples into  $\tau_2^{YL} \approx 1/C_n(n_0 + 0.5N_D)$ , we can estimate the simulated decay lifetimes of YL, which are  $5.02 \times 10^{-6}$  s (for N-836) and  $6.59 \times 10^{-6}$  s (for N-826), respectively. Our simulated decay lifetime of N-836 is about 1.8 times that of the experimental result, and the experimental slow decay lifetime of N-826 is about 1.2 times that of our simulated sample. However, both experimental and simulated decay lifetimes of N-836 are shorter than those of N-826, which is due to the intrinsic electron concentrations of sample N-836 being slightly higher than that of sample N-826, and their C impurity concentrations are similar. Fig. 5 shows that the shape of YL decay curves varies greatly with different defect concentrations, but even so when the defect concentration is not higher than  $1.5 \times 10^{18} \text{ cm}^{-3}$ , the simulated fast and slow decay lifetimes of YL will still maintain in the order of  $10^{-10}$  s and  $10^{-6}$  s magnitudes. In fact, some parameters used in our model are difficult to accurately measure in experiments, especially the concentrations of defect centers. This indicates that our simulated YL decay curves are difficult to completely accord with the experimental results, but the simulated fast and slow decay lifetimes are consistent with the experimental results in terms of magnitudes ( $10^{-10}$  s and  $10^{-6}$  s).

Since the YL of samples N-836 and N-826 have similar decay processes, we then only simulated the YL decay of sample N-836 and compared it with the experimental data. The corresponding parameters of first ( $\lambda = 266 \text{ nm}$ ,  $a(\lambda) = 1.77 \times 10^5 \text{ cm}^{-1}$ ,  $I_0 = 4.34 \times 10^7 \text{ W cm}^{-2}$ ) and second ( $\lambda = 280 \text{ nm}$ ,  $a(\lambda) = 1.62 \times 10^5 \text{ cm}^{-1}$ ,  $I_0 = 640 \text{ W cm}^{-2}$ ) experiments are substituted into eqn (1)–(4), we can get the time-resolved  $I_{YL}$ , and the results are shown in Fig. 7. The time steps in Fig. 7(a and b) are set to 15 ps and 0.5  $\mu\text{s}$  to match the resolution in the experiments. Note that the carrier recombination during the laser pulse in the first experiment is ignored due to the pulse width is much faster than the carrier recombination. We know the measured fluorescence waveform is the convolution of the true decay profile with the IRF,<sup>43</sup> and the true YL decay curve of the first experiment obtained by deconvolution is shown in Fig. 7(a). It should be noted that, in order to avoid excessive noise, we first deconvolve the measured experimental data with the fitted IRF (red line in the inset of Fig. 7(a)) and then fit the deconvolved data. As one can see, the calculated results agree well with the experimental results. YL intensities will increase first and then exhibit a single exponential decay, and the fitted decay lifetimes of calculated and experimental curves are  $2.39 \times 10^{-10}$  s and  $4.10 \times 10^{-10}$  s, respectively. For the widely reported decay of YL on the order of microseconds, we do not deconvolve the measured experimental data with IRF, and the comparison between experimental and simulated slow decay curves of YL is shown in Fig. 7(b). It has been shown that, before about 5  $\mu\text{s}$ , the calculated results agree very well with the experimental data. Whereas the experimental data decay slightly slower than the calculated results after 5  $\mu\text{s}$ . This deviation at the long-timescale with a weak PL signal could

Table 2 Parameters of GaN samples analyzed in this paper

Sample number	[C] from SIMS ( $\text{cm}^{-3}$ )	[Si] from SIMS ( $\text{cm}^{-3}$ )	$n_0$ at 300 K ( $\text{cm}^{-3}$ )
N-836	$\sim 7.0 \times 10^{17}$	$1.9 \times 10^{18}$	$4.5 \times 10^{17}$
N-826	$\sim 7.0 \times 10^{17}$	$1.0 \times 10^{18}$	$3.0 \times 10^{17}$

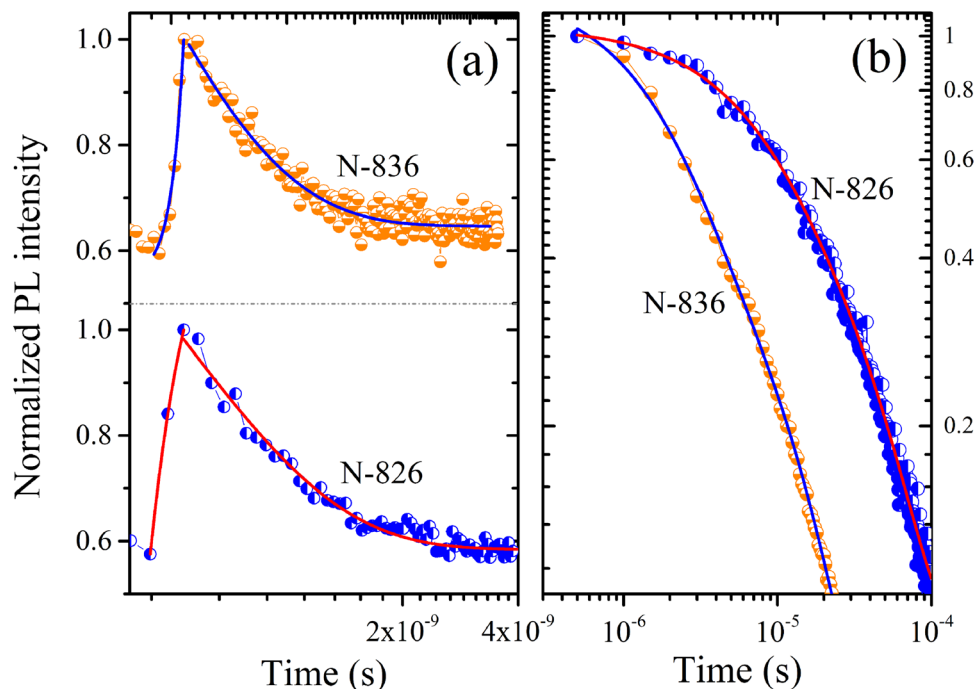


Fig. 6 The measured TRPL data of YL with the maximum at 2.20 eV in the first (a) and second (b) experiments. The blue and red solid lines in figure are the fitted data.

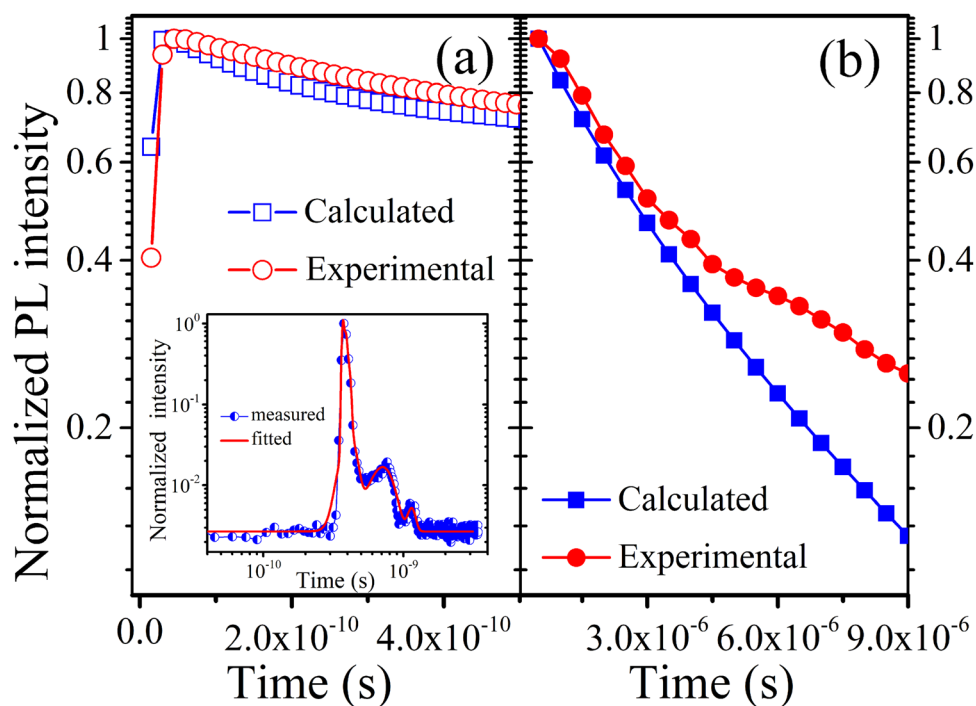


Fig. 7 The calculated in our model and experimental TRPL results of YL of sample N-836 for first (a) and second experiments (b). The hollow circles in (a) are the deconvolved results of the first experimental data, and the solid circles in (b) are the measured data of the second experiment. In the inset of (a), the blue symbols are the measured IRF data in the first experiment, and the red line is the fitted IRF data. Both (a) and (b), we align the time of the maximum of experimental and calculated YL intensities.

be due to our model ignoring the consumption of electrons in the conduction band by the unknown recombination center. In

the second experiment, our simulated decay lifetime is  $3.94 \times 10^{-6}$  s, which is consistent with the slower experimental decay



lifetime of  $2.86 \times 10^{-6}$  s. Note that to highlight the decay of YL in the order of several hundred picoseconds and microseconds, the horizontal axis ranges of Fig. 7(a and b) are set to 500 ps and 9  $\mu$ s, respectively.

The same sample shows very different experimental decay times at different regions of the time range, showing the double exponential nature of the TRPL, in agreement with our simulation. Obviously, neither of the above experiments is a good description of the whole YL decay process. Due to the limitation of the experimental setups, such as the measured time range, one separate experiment can only obtain partial characteristics of YL decay, but not the whole process. Our approach of combining direct *ab initio* simulation with experiment reveals the true characteristics and the mechanisms underneath the TRPL, representing a big step forward towards the understanding of the complex behavior of TRPL.

## V. Conclusion

In summary, we propose a theoretical model that can describe the deep impurity level related TRPL process in WBGS based on the SRH model, and the dynamic competition of different recombination channels. Combining theoretical simulation and experimental measurement, we reveal the mechanism of YL TRPL decay, which is caused by electron transition from the conduction band edge to the  $C_N^0$  defect center. We propose that in the case of large injection, the initial fast decay in the first hundreds of picoseconds is caused by the reduction of the band edge electron concentration  $n$ , while the concentration of  $C_N^0$  is more or less constant due to fast hole pumping to the defect center from the valence band edge. The reduction of  $n$  is mostly caused by band edge emission. The slow decay in the order of  $\mu$ s is due to the slow decay of the concentration of  $C_N^0$  defects caused by electron transition from the conduction band to the  $C_N^0$  defects. During this time, the band edge hole concentration has been depleted. In addition, we compare the decay of YL when the defect concentration varies from  $5.0 \times 10^{16} \text{ cm}^{-3}$  to  $1.5 \times 10^{18} \text{ cm}^{-3}$ . When the defect concentration is  $1.5 \times 10^{18} \text{ cm}^{-3}$ , slightly higher than the photo-generated carriers, the YL recombination channel will dominate over the direct band-edge recombination channel. As a consequence, YL will only have one  $\mu$ s decay lifetime. We think our method provides important support to explain the experimental TRPL. It also leads to a better understanding of the competition between deep level recombination channels in WBGS. Our quantitative agreements between theory and experiment further confirm the accuracy of our theoretically calculated recombination rates for different channels.

## Author contributions

H. S. Zhang: conceptualization, data curation, investigation, methodology, software, visualization, and writing – original draft. L. Shi: conceptualization, funding acquisition, supervision, investigation, methodology, software, and writing – review & editing.

Z. H. Liu: resources, validation. G. Z. Xu: resources, and validation. W. T. Song: resources and validation. Y. K. Wang: validation. Z. J. Xu: software. X. B. Yang: funding acquisition. Y. J. Zhao: funding acquisition. X. L. Yang: resources. B. Shen: resources. L. W. Wang: supervision, and writing – review & editing. K. Xu: resources, and supervision.

## Conflicts of interest

The authors declare no conflict of interest.

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